# BEFORE THE BOARD FOR PROFESSIONAL ENGINEERS AND LAND SURVEYORS DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

DEC	ISION
Respondent.	) )
Land Surveyor License No. L 5440,	)
Santa Monica, CA 90404	)
MARK DAVID HARDY 1552 Eighteenth Street	) Case No. 841-A
In the Matter of the Accusation against:	)

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board for Professional Engineers and Land Surveyors as its Decision in the above-entitled matter.

This Decision shall become effective on <u>December 24, 2010</u>.

IT IS SO ORDERED <u>November 17, 2010</u>.

BOARD FOR PROFESSIONAL ENGINEERS AND LAND SURVEYORS Department of Consumer Affairs State of California

By Original Signed

	2			
1	EDMUND G. BROWN JR.			
2	Attorney General of California GREGORY SALUTE			
3	Supervising Deputy Attorney General SUSAN MELTON WILSON			
4	Deputy Attorney General			
	State Bar No. 106902 300 So. Spring Street, Suite 1702			
5	Los Angeles, CA 90013 Telephone: (213) 897-4942	*		
6	Facsimile: (213) 897-2804 E-mail: Susan.Wilson@doj.ca.gov			
7	Attorneys for Complainant	3		
8	BEFORE THE BOARD FOR PROFESSIONAL ENGINEERS AND LAND SURVEYORS			
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA			
10				
11	In the Matter of the Accusation Against:	Case No. 841-A		
12		STIPULATED SETTLEMENT AND		
13	24007 Ventura Blvd., Suite #102	DISCIPLINARY ORDER		
14	Calabasas, CA 91302			
15	Civil Engineer License No. C 36538			
16	Land Surveyor License No. L 5440			
17	Respondent.			
18				
19	In the interest of a prompt and speedy settler	ment of this matter, consistent with the public		
20	interest and the responsibility of the Board for Pro	ofessional Engineers and Land Surveyors of the		
21	Department of Consumer Affairs, the parties herel	by agree to the following Stipulated Settlement		
22	and Disciplinary Order which will be submitted to	the Board for approval and adoption as the		
23	final disposition of the Accusation			
24	PART	TIES		
25	1. David E. Brown (Complainant) is the	Executive Officer of the Board for Professional		
26	Engineers and Land Surveyors. He brought this ac	ction solely in his official capacity and is		
27	represented in this matter by Edmund G. Brown Ju	r., Attorney General of the State of California,		
28	by Susan Melton Wilson, Deputy Attorney Genera			

- Respondent Mark David Hardy (Respondent) is represented in this proceeding by attorney Derrick F. Coleman, whose address is 501 Colorado Avenue, Suite 20B, Santa Monica, CA 90401.
- 3. On or about July 22, 1983, the Board for Professional Engineers and Land Surveyors issued Civil Engineer License No. C 36538 to Mark David Hardy (Respondent). The Civil Engineer License was in full force and effect at all times relevant to the charges brought in Accusation No. 841-A and will expire on June 30, 2010, unless renewed.
- 4. On or about March 16, 1984, the Board for Professional Engineers and Land Surveyors issued Land Surveyor License No. L 5440 to Mark David Hardy (Respondent). The Land Surveyor License was in full force and effect at all times relevant to the charges brought in Accusation No. 841-A and will expire on September 30, 2010, unless renewed.

#### JURISDICTION

5. Accusation No. 841-A was filed before the Board for Professional Engineers and Land Surveyors (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on October 6, 2009. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 841-A is attached as **Exhibit A** and incorporated herein by reference.

#### ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 841-A. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and

court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

#### **CULPABILITY**

- 9. Nothing herein constitutes an admission by Respondent of any wrongdoing in connection with the events described in Accusation No. 841-A.
- 10. Respondent agrees to the imposition of discipline as to his Land Surveyor License No. L 5440, and he agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

#### RESERVATION

11. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board for Professional Engineers and Land Surveyors or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

#### CONTINGENCY

12. This stipulation shall be subject to approval by the Board for Professional Engineers and Land Surveyors. Respondent understands and agrees that counsel for Complainant and the staff of the Board for Professional Engineers and Land Surveyors may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, and shall not be admissible in any criminal, civil, administrative or other legal proceeding, and the Board shall not be disqualified from further action by having considered this matter.

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- 13. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- 15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

#### **DISCIPLINARY ORDER**

A PUBLIC REPROVAL shall be issued to Respondent Mark David Hardy, Land Surveyor License, No. L 5440. It is further ordered that Respondent Hardy shall complete the following requirements:

- A. Within eighteen (18) months from the effective date of the decision, Respondent shall fully reimburse the Board for its investigative and enforcement costs in the amount of Two Thousand, Five Hundred Dollars (\$2,500).
- B. Within eighteen (18) months from the effective date of the decision, Respondent shall successfully complete sixteen (16) hours of continuing education/professional development in the field of land surveying, related to the areas of violation alleged in the Accusation, approved in advance by the Board or its designee. Respondent shall provide the Board with verifiable proof of his successful completion of said hours in a timely manner.
- C. Within eighteen (18) months from the effective date of the decision, Respondent shall successfully complete and pass a course in professionalism and ethics for engineers

approved in advance by the Board or its designee. Respondent shall provide the Board with verifiable proof of his successful completion of said course in a timely manner.

D. In the event that Respondent fails to comply *any* of the above requirements A, B or C as set forth in this Order, within the time specified in the Order, the Board shall deem Respondent's Notice of Defense to Accusation No. 841-A to be withdrawn, and Accusation No. 841-A shall be deemed unchallenged by Respondent, and all charges and allegations contained therein shall be deemed admitted as accurate and true, and the Board shall, without further process, enter its Default Decision against Respondent in the matter of Accusation No. 841-A, revoking Respondent's Land Surveyor License No. L 5440.

#### **ACCEPTANCE**

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Derrick F. Coleman. I understand the stipulation and the effect it will have on my Land Surveyor License. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board for Professional Engineers and Land Surveyors.

DATED: 29th Oct 2010 Original Signed

MARK PAYID HARDY

Respondent

I have read and fully discussed with my client, Respondent Mark David Hardy, the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED: 10/29/10

Original Signed
DERRICK F. COLEMAN
Attorney for Respondent

## **ENDORSEMENT** The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board for Professional Engineers and Land Surveyors of the Department of Consumer Affairs. Dated: November 1, 2010 Respectfully Submitted, EDMUND G. BROWN JR. Attorney General of California GREGORY SALUTE Supervising Deputy Attorney General Original Signed SUSAN MELTON WILSON Deputy Attorney General Attorneys for Complainant LA2009602244 50699377.(revised 7/21)

1	EDMUND G. BROWN JR., Attorney General
2	of the State of California GREGORY J. SALUTE
3	Supervising Deputy Attorney General SUSAN MELTON WILSON, State Bar No. 106092
4	Deputy Attorney General 300 So. Spring Street, Suite 1702
5	Los Angeles, CA 90013 Telephone: (213) 897-4942
6	Facsimile: (213) 897-2804
7	Attorneys for Complainant
8	BEFORE THE
9	BOARD FOR PROFESSIONAL ENGINEERS AND LAND SURVEYORS DEPARTMENT OF CONSUMER AFFAIRS
10	STATE OF CALIFORNIA
11	In the Matter of the Accusation Against: Case No. 841-A
12	MARK DAVID HARDY
13	24007 Ventura Boulevard, Suite 102 Calabasas, CA 91302  ACCUSATION
14	Civil Engineer License No. C 36538 Land Surveyor License No. L 5440,
15	
16	Respondent.
17	Complainant alleges:
18	
19	PARTIES  David F. Brown (Complainant) brings this Acquestion cololy in his
20	David E. Brown (Complainant) brings this Accusation solely in his
21	official capacity as the Executive Officer of the Board for Professional Engineers and Land Surveyors, Department of Consumer Affairs (Board).
22	<ol> <li>On or about March 16, 1984, the Board issued Land Surveyor License No</li> </ol>
23	L 5440 to Mark David Hardy (Respondent). The License was in full force and effect at all times
24	relevant to the charges brought herein and will expire on September 30, 2010, unless renewed.
25	3. On or about July 22, 1983, the Board issued Civil Engineer License No.
26	C36538 to Mark David Hardy (Respondent). The License was in full force and effect at all
27	times relevant to the charges brought herein and will expire on June 30, 2010, unless renewed.
28	and store that to the charges brought herein and will expire on June 30, 2010, unless renewed.

#### **JURISDICTION**

- 4. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
  - 5. Section 8780 states:

"The board may receive and investigate complaints against licensed land surveyors and registered civil engineers, and make findings thereon.

"By a majority vote, the board may reprove, suspend for a period not to exceed two years, or revoke the license or certificate of any licensed land surveyor or registered civil engineer, respectively, licensed under this chapter or registered under the provisions of Chapter 7 (commencing with Section 6700), whom it finds to be guilty of:

- (a) Any fraud, deceit, or misrepresentation in his or her practice of land surveying.
  - (b) Any negligence or incompetence in his or her practice of land surveying.
- (d) Any violation of any provision of this chapter or of any other law relating to or involving the practice of land surveying.

6. Section 8762 states:

- "(a) Except as provided in subdivision (b), after making a field survey in conformity with the practice of land surveying, the licensed surveyor or licensed civil engineer may file with the county surveyor in the county in which the field survey was made, a record of the survey.
- (b) Notwithstanding subdivision (a), after making a field survey in conformity with the practice of land surveying, the licensed land surveyor or licensed civil engineer shall file with county surveyor in the county in which the field survey was made a record of the survey relating to the land boundaries or property lines, if the filed survey discloses any of the following:

- (1) Material evidence or physical change, which in whole or in part does not appear on any subdivision map, official map, or record of survey previously recorded or property filed in the office of the county recorder or county surveying department, or map or survey record maintained by the Bureau of Land Management of the United States.
- (2) A material discrepancy with the information contained in any subdivision map, official map, or record of survey previously recorded or filed in the office of the county recorder or the county surveying department, or any map or survey record maintained by the Bureau of Land Management of the United States. For purposes of this subdivision, a "material discrepancy" is limited to a material discrepancy in the position of points or lines, or in dimensions.
- (3) Evidence that, by reasonable analysis, might result in materially alternate positions of lines or points, shown on any subdivision map, official map, or record of survey previously recorded or filed in the office of the county recorder of the county surveying department, or any map of survey record maintained by the Bureau of Land Management of the United States.
- (4) The establishment of one or more points or lines not shown on any subdivision map, official map, or record of survey, the positions of which are not ascertained from an inspection of the subdivision map, official map, or record of survey.
- (5) The points or lines set during the performance of a field survey of any parcel described in any deed or other instrument of title recorded in the county recorder's office are not shown on any subdivision map, official map, or recorded of survey.
- (c) The record of survey required to be filed pursuant to this section shall be filed within 90 days after the setting of boundary monuments during the performance of a field survey or within 90 days after completion of a field survey, whichever occurs first.
- (d)(1) If the 90-day time limit contained in subdivision (c) cannot be complied with for reasons beyond the control of the licensed land surveyor or licensed civil engineer, the 90-day time period shall be extended until the time at which the reasons for delay are eliminated. If the licensed land surveyor or licensed civil engineer cannot comply with the 90-day time limit,

 he or she shall, prior to the expiration of the 90-day time limit, provide the county surveyor with a letter stating that he or she is unable to comply. The letter shall provide an estimate of the date for completion of the record of survey, the reasons for the delay, and a general statement as to the location of the survey, including the assessors's parcel number or numbers.

- (2) The licensed land surveyor or licensed civil engineer shall not initially be required to provide specific details of the survey. However, if other surveys at the same location are performed by others which may affect or be affected by the survey, the licensed land surveyor or licensed civil engineer shall then provide information requested by the county surveyor without unreasonable delay.
- (e) Any record of survey filed with the county surveyor shall, after being examined by him or her, be filed with the county recorder.
- (f) If the preparer of the record of survey provides a postage-paid, self-addressed envelope or postcard with the filing of the record of survey, the county recorder shall return the postage-paid, self-addressed envelope or post-card to the preparer of the record of survey with the filing data within 10 days.
- 7. California Code of Regulations, title 16, section 404, states, in pertinent part:

"For the purpose of the rules and regulations contained in this chapter, the following terms are defined. No definition contained herein authorizes the practice of professional engineering as defined in the Professional Engineers Act.

. . . .

"(n) For the sole purpose of investigating complaints and making findings thereon under Sections 6775 and 8780 of the Code, "incompetence" as used in Sections 6775 and 8780 of the Code is defined as the lack of knowledge or ability in discharging professional obligations as a professional engineer or land surveyor.

. . . .

"(w) For the sole purpose of investigating complaints and making findings thereon under Sections 6775 and 8780 of the Code, "negligence" as used in Sections 6775 and

d. At that time Respondent represented to Neighbor that he was a surveyor

8780 of the Code is defined as the failure of a licensee, in the practice of professional engineering or land surveying, to use the care ordinarily exercised in like cases by duly licensed professional engineers and land surveyors in good standing. . . ."

- 8. Section 118, subdivision (b), provides that the suspension / expiration / surrender / cancellation of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary action during the period within which the license may be renewed, restored, reissued or reinstated.
- 9. Section 125.3 provides, in pertinent part, that the board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

#### FIRST CAUSE FOR DISCIPLINE

(Fraud, Deceit, or Misrepresentation in Practice of Land Surveying.)

- 10. Respondent is subject to disciplinary action under section 8780, subdivision (a), for unprofessional conduct, in that Respondent engaged in fraud, deceit, or misrepresentation in his practice of land surveying services for his own property and that of his neighbors Mr. and/or Mrs. L ("Neighbor") on San Vicente Boulevard in Santa Monica, CA on or about December 2002 through July 2005, as follows:
- a. In and prior to November, 2002, Respondent and Neighbor resided in single family homes on adjoining lots on San Vicente Boulevard in the city of Santa Monica.

  Both homes had garages at the rear of the property. The lots were divided by a cinder block wall, which had been built along the common lot line.
- b. On or about December 2002, without warning to Neighbor, Respondent demolished and removed the existing cinder block wall along the common lot line, and began construction of a new wall abutting Neighbor's garage.
- c. Neighbor objected to Respondent's plan to build a new wall which appeared to encroach upon Neighbor's property.

and had performed a survey to establish the property line, and that the new wall conformed to his survey results.

- e. Neighbor then hired an independent surveyor to establish the property line. Using a monument on the centerline of the alley directly behind Respondent's property, the independent surveyor located an alternate position of the property line, which placed Neighbor's garage two (2) feet southwesterly and clear of the lot line.
- f. In Fall of 2003, Respondent admitted to Board investigators that there had been errors in his work. In July of 2005, Respondent admitted his methodology in surveying "my property line" was as follows: "I could not find a monument at the end of my alley so I turned record angle up the alley from Seventh Street and set temporary points on my property. I always set temporary points until office verified or in boundary line disputes talking with other surveyor. When (the independent surveyor) surveyed the property line in question he found a monument of record at the end of the alley, which established the centerline of the alley more accurately than I had."
- g. Respondent admitted that he did not file a corner record, but explained that after realizing from the independent surveyor's work that "my points were off," he decided to
- "just remov(e) the points" and filed no corner record.
- h. Respondent engaged in fraud, deceit, or misrepresentation in his practice in that Respondent falsely claimed to the Board that his property line monuments were temporary in nature. In fact, Respondent set points that were intended to locate the property and marked corners with durable tagged monuments that comply with standard industry practice.

#### SECOND CAUSE FOR DISCIPLINE

(Negligence and Incompetence)

11. Respondent is subject to disciplinary action under section 8780, subdivision (b), for unprofessional conduct, in that Respondent was negligent and incompetent in the course and scope of land surveying and fell below the standard of practice when dealing with a survey within sectionalized land, as follows:

a.	Allegations of paragraph	10 above are re-alleged as though fully set for	orth.
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- b. Respondent's method of establishing the lot line did not comply with accepted industry standards and was incomplete. Property lines cannot be established by holding record dimensions from one direction. Additional efforts should have been made to find additional monuments along the alley or street or the tract boundary.
- c. Respondent failed to include the cinder block retaining wall the location of which is in dispute between Respondent and Neighbor on his survey map
- d. Respondent miscalculated the distance from the street intersection to the tract boundary. Respondent further failed to use city tie notes that would have revealed error(s) in his calculations.
  - e. Respondent ignored lines of occupation.
- f. Respondent failed to find a controlling monument which was identified and used by the independent surveyor.
- g. Respondent removed his monuments rather than resolving the locations of the points and the lines.

### THIRD CAUSE FOR DISCIPLINE

(Failure to Record Survey)

12. Respondent is subject to disciplinary action under section 8780, subdivision (d), in conjunction with section 8762, subdivision (b)(2), for unprofessional conduct, due to violation of provisions relating to the practice of land surveying, in that Respondent failed to file a record of the subject survey, which purportedly disclosed a material discrepancy in the position of the point lines, as described in paragraphs 10 and 11, above.

#### **PRAYER**

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board for Professional Engineers and Land Surveyors issue a decision:

 Revoking or suspending Land Surveyor License No. L 5440, issued to Mark David Hardy.

1	2. Revoking or suspending Civil Engineer License No. C36538, issued to
2	Mark David Hardy.
3	3. Ordering Mark David Hardy to pay the Board for Professional Engineers
4	and Land Surveyors the reasonable costs of the investigation and enforcement of this case,
5	pursuant to Business and Professions Code section 125.3;
6	Taking such other and further action as deemed necessary and proper.
7	DATED: 4/25/09
8	
9	Original Signed
10	DAVID E. BROWN Executive Officer
11	Board for Professional Engineers and Land Surveyors Department of Consumer Affairs
12	State of California Complainant
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